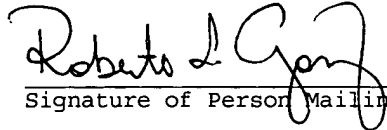


CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed herewith are being deposited with the United States Postal Service on this date **September 18, 2003** in an envelope as "Express Mail Post Office to Addressee", Mailing Label Number **EV 270962876 US** addressed to the: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450



Signature of Person Mailing Paper

Roberto L. Gomez

Name of Person Mailing Paper



23566

PATENT TRADEMARK OFFICE

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventors: Torrey M. Bievenour
 7 Shellbourne Lane, Fl. 2
 Stony Brook, New York 11790

 Lawrence Lieberman
 12 Satterly Road
 East Setauket, New York 11733

For (title): OPTICALLY ACTIVE COLOR FILTER

1. Type of Application

This new application is an original application.

2. Benefit of Prior U.S. Application

This new application claims the benefit of a prior U.S. Provisional Patent Application No. 60/411,541 filed on September 18, 2002.

09/18/03



14230 U.S. PTO

22388 U.S. PTO
10/664395



09/18/03

3. Papers Enclosed Herewith

The following papers required for a filing date under 37 CFR 1.53(b) are enclosed:

<u>15</u>	Pages of specification
<u>5</u>	Pages of claims
<u>1</u>	Page of abstract
<u>17</u>	Sheets of drawings

The following additional papers are enclosed:

<u>X</u>	Declaration and Power of Attorney
<u> </u>	Assignment
<u> </u>	Form PTO-1595
<u>X</u>	Notice of Address for Receipt of PTO Correspondence
<u> </u>	Information Disclosure Statement
<u> </u>	Form PTO-1449
<u> </u>	Copies of Form PTO-1449 citations

4. Small Entity Status

Applicants claim small entity status (See 37 CFR §1.27)

5. Filing Fee Calculation

The filing fee (small entity) has been calculated as follows:

Basic fee		\$375
Total claims in excess of 20	(= 11) × \$9	\$99
Total independent claims in excess of 3	(= 4) × \$42	\$168
Multiple dependent claims	(= 0) × \$140	0
Basic Filing Fee Due:		\$642

6. Fee Payment Being Made at This Time

<u>X</u>	Basic filing fee	\$642
<u> </u>	Fee for recordation of assignment	

Total Fees Enclosed:		\$642
		====

7. Method of Payment of Fees

<u>X</u>	A check drawn on Ostrager Chong & Flaherty LLP
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_____ in the amount of \$642 to cover the filing fee.
_____ A check drawn on Ostrager Chong & Flaherty LLP
_____ in the amount of \$40 to cover the recordation fee.
_____ The Commissioner is hereby authorized to charge the fee
_____ in the amount of _____ to Deposit Account No. 15-0699.

8. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any underpayment of fees required for the filing of this application, or credit any overpayment, to Deposit Account No. 15-0699.

The Commissioner is also hereby authorized to charge any underpayment of fees required to maintain the pendency of this application to Deposit Account No. 15-0699.

9. Address for Receipt of Patent Office Correspondence

All correspondence relating to this application should be sent to the following address:

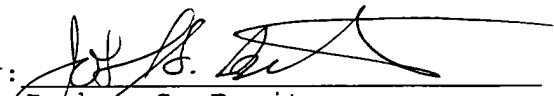
Joshua S. Broitman
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825 Third Avenue
New York, New York 10022-7519

CUSTOMER NO. 23566

Respectfully submitted,

OSTRAGER CHONG & FLAHERTY LLP
Attorneys for Applicants

September 18, 2003
Dated

By: 
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